

Matter No. 7024-109/PUR-48

Initials: JJS:mkr 4/ /00

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(X) Patent () Trademark
() Copyright

Serial No. 09/180,340

Applicant: Nancy W.Y. Ho et al.

Receipt of the attached is
acknowledged.



() Application

2 (X) Check for \$110.00 and \$240.00

() _____ sheets of drawing(s)

() Small Entity Declaration

() Declaration & Power of Atty.

() Assignment

(X) Amendment (10 pages) and support figures

(X) Req. for Extension of Time - 1 month

() Specimens

(X) supplemental IDS
Information Disclosure Statement

(X) copy of EP citation

(X) Fee transmittal

(X) transmittal form

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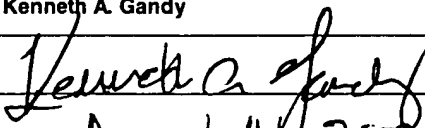
EXHIBIT

B

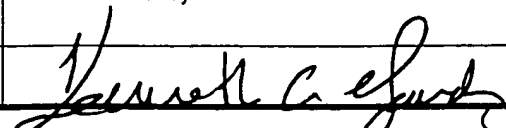
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/180,340
	Filing Date	August 20, 1999
	First Named Inventor	Nancy W.Y. Ho
	Group Art Unit	1653
	Examiner Name	H. Robinson
Total Number of Pages in this Submission		Attorney Docket Number 7024-109

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request - 1 month <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement with PTO form SB08A <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure (please identify below) X Return postcard X Copy of European reference for Supplemental IDS EP 0 450 430 B1
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	WOODARD EMHARDT NAUGHTON MORIARTY & MCNETT		
	Kenneth A. Gandy		
Signature			
Date	August 14, 2000		

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on this date: August 9, 2000			
Typed or printed name	Kenneth A. Gandy		
Signature		Date	August 14, 2000

FEE TRANSMITT/ FOR FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity
statement, otherwise large entity fees must be paid.
See Forms PTO/SB/09-12.

Complete if Known

Application Number	09/180 0
Filing Date	August 20, 1999
First Named Inventor	Nancy W.Y. Ho
Group Art Unit	1653
Examiner Name	H. Robinson
Attorney Docket Number	7024-109

Total Amount of Payment \$350.00

Method of Payment (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 23-3030

Deposit Account Name Woodward, Emhardt, Naughton, Moriarty & McNett

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

Fee Calculation

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility Filing Fee	
106	310	206	155	Design Filing Fee	
107	480	207	240	Plant Filing Fee	
108	690	208	345	Reissue Filing Fee	
114	150	214	75	Provisional Filing Fee	

SUBTOTAL (1) (\$) ---

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee From Below	Fee Paid
20**	=	x	=
3**	=	x	=
Multiple Dependent			=

** or number previously paid, if greater, for reissues, see below

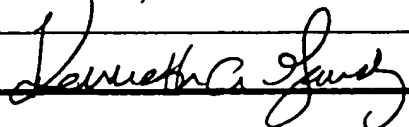
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) ---

SUBMITTED BY

Typed or Printed Name Kenneth A. Gandy

Signature



Date

August 14, 2000

Fee Calculation (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	318	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Supplemental Submission of Information Disclosure Stmt	240.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	

Other Fee (specify)

Other Fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

350.00

Complete (if applicable)

Reg. Number

33,386

Deposit Account User ID

23-3030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent)	Before the Examiner
application of:)	
)	H. Robinson
Nancy W.Y. Ho et al.)	
)	Group Art Unit 1653
Serial No. 09/180,340)	
)	
Filed August 20, 1999)	
)	
STABLE RECOMBINANT YEASTS FOR)	August 14, 2000
FERMENTING XYLOSE TO ETHANOL)	

REQUEST FOR EXTENSION OF TIME

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OFFICE OF PETITIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant's attorney requests that the date for responding to the Office Action dated April 12, 2000, be extended one month to and including August 14, 2000.

The statutory fee of \$110.00 for this request is enclosed herewith. The Patent and Trademark Office is authorized to charge any deficiency or apply any overpayment credit to Deposit Account No. 23-3030.

Respectfully submitted

By Kenneth A. Gandy
Kenneth A. Gandy
Reg. No. 33,386
Woodard, Emhardt, Naughton,
Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>August 14, 2000</u> .	
<u>Kenneth A. Gandy</u> Name of Registered Representative	
<u>Kenneth A. Gandy</u> Signature	
<u>August 14, 2000</u> Date of Signature	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent)	
application of:)	Before the Examiner
)	
Nancy W.Y. Ho et al.)	H. Robinson
)	
Serial No. 09/180,340)	
)	Group Art Unit 1653
Filed August 20, 1999)	
)	
STABLE RECOMBINANT YEASTS FOR)		
FERMENTING XYLOSE TO ETHANOL)		August 14, 2000

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

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JAN 23 2002

OFFICE OF PETITIONS

Sir:

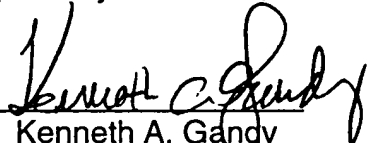
Pursuant to the duty of disclosure embodied in 37 C.F.R. § 1.56, Applicants wish to bring to the attention of the Examiner the item of information listed on the enclosed PTO Form PTO/SB08A and/or PTO/SB08B. This information has not been previously submitted in this application, and has not been heretofore cited by the Examiner. A copy of the cited item is enclosed in accordance with 37 C.F.R. § 1.98.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>August 14, 2000</u> .	
Kenneth A. Gandy Name of Registered Representative	
<u>Kenneth A. Gandy</u> Signature	
<u>August 14, 2000</u> Date of Signature	

The identified information, taken alone or in combination with other art, provides information relating to the subject matter of the invention, but fails to teach, disclose or suggest the present invention. The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in § 1.56(b).

This Statement is being submitted more than three months after the filing date of the application and after the mailing of the first Office Action on the merits, but before the mailing date of a final action under § 1.113 or a notice of allowance under § 1.311. Enclosed is a check in the amount of \$240.00 to cover the fee in connection with this filing. To the extent any additional fees are required, please charge such fees to Deposit Account No. 23-3030.

Respectfully submitted,

By: 
Kenneth A. Gandy
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